

REMARKS

The specification has been replaced pursuant to M.P.E.P. §608.01(q). No new matter has been added. The Abstract has been replaced. Claims 11 - 15 have been appended.

CONCLUSION

Pending claims 1 - 15 are believed to be in condition for allowance. The issuance of a formal Notice of Allowance at an early date is respectfully requested.

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 650-326-2400.

Respectfully submitted,



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**APPENDIX B: SPECIFICATION AS FILED, WITH MARKINGS TO SHOW
CHANGES MADE**

Please amend the specification as originally filed as follows:

Backup Processing Method

CROSS-REFERENCES TO RELATED APPLICATIONS

NOT APPLICABLE

STATEMENT AS TO RIGHTS TO INVENTIONS MADE UNDER
FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT

NOT APPLICABLE

REFERENCE TO A "SEQUENCE LISTING," A TABLE, OR A COMPUTER
PROGRAM LISTING APPENDIX SUBMITTED ON A COMPACT DISK.

NOT APPLICABLE

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates generally to a backup processing system to ~~back up the data of~~ for data processing systems executing on-line processing and batch processing, and more particularly to a ~~technology effectively applicable to backup processing systems~~ technology capable of preventing ~~the backup processing from being prolonged delays~~ when trouble occurs during a backup operation processing.

2. Description of the Related Art

On-line processing and batch processing in data processing systems of banks, securities firms, etc., sometimes ~~come to an abnormal end~~ terminate abruptly due to bugs in the programs, trouble with storage devices, etc., leaving data in an inconsistent state. Moreover, ~~D~~ data are sometimes erased by human errors made by persons engaging in during data processing. ~~Known in order to restore data processing systems in such trouble are s~~ Several means are known for correcting ~~such the~~ inconsistencies in such

data, and ~~restarting such the~~ data processing again or redoing such data processing from scratch. ~~With one of the One~~ means; restores a data processing system is ~~restored by~~ backing up data from time to time and restoring the data if trouble has occurred.

With the means for backing up and restoring data, data of a database system, for example, ~~a database system~~ are regularly backed up ~~regularly into to~~ a storage medium such as ~~a~~ magnetic tape. If trouble occurs in the database system, the data are restored from the magnetic tape to the database system storage device, ~~of the database system and~~ The backup system dates back to an appropriate point in time to reconstruct the data in the storage device of the database system. Thus the data of the database system are restored so that ~~the database processing can be started again~~ recommence.

In the case of batch processing, the data ~~of in~~ the system's storage device ~~of the system~~ are backed up ~~into a on~~ magnetic tape prior to batch processing. If batch processing comes to an ~~abnormal~~ irregular end, the data are restored from the magnetic tape to the storage device, and then batch processing is started again from scratch.

~~Disclosed in JP-A-242437/2000 is~~ discloses a storage-device system which makes a copy of data to be backed up in its storage device so that backup data can be made not from the data, but from the copy; therefore access to the data in the storage device is not ~~disturbed~~ disrupted even while the data are being backed up.

~~While Sometimes,~~ data are being backed up ~~into a on~~ magnetic tape, ~~by the means for backing up and restoring data as described above,~~ backup processing ~~sometimes~~ comes to an ~~abnormal~~ irregular end due to trouble with the magnetic tape drive or the magnetic tape. In this case, another magnetic tape drive and another magnetic tape ~~have to~~ must be ~~prepared and readied,~~ the data-backup processing ~~has to~~ must be redone from scratch. ~~Thus the data and~~ backup processing takes a long time.

Recently, the amount of data in the input to data processing systems ~~have~~ been increasing rapidly has burgeoned, increasing the amount of data which need to be backed up. ~~On the other hand~~ In contrast, ~~to minimize the effects of data-backup processing on on-line business affairs,~~ the time allowed allocated for data-backup processing has been ~~shortening~~ decreasing in order to minimize the effects of data-backup processing on on-line business affairs. If data-backup processing of a system ~~comes to an~~

~~abnormal ends irregularly~~, the data-backup processing has to be redone from scratch.
~~;~~ Thus data-backup processing takes a long time not to be finished in the allowed much
longer to be completed than the time allocated for backup such processing, and may
affecting the on-line business affairs.

~~In the case of~~ With the storage-device system of JP-A-242437/2000, the
effects of ~~data-irregular termination of~~ backup processing ~~coming to an abnormal end on~~
the on-line business affairs can be lessened. However, if data-backup processing comes
~~to an abnormal end~~ terminates irregularly, ~~it the back-up~~ has to be redone from scratch;
accordingly ~~it the data backup~~ takes a long time to complete the data-backup
processing, and the processing occupies resources such as magnetic-tape drives
and data-transfer routes for a long time.

BRIEF SUMMARY OF THE INVENTION

In accordance with the above, ~~the an~~ object of the present invention is to
provide a technology capable of preventing the backup processing from being prolonged
when trouble occurs during backup processing.

According to the present invention, resources and routes necessary for
backup processing are dynamically secured to form a plurality of backup subsystems in a
backup processing system for backing up the data ~~offrom~~ a data-processing computer
system, and backup processing ~~are is~~ executed by the subsystems.

In the backup processing system of the present invention, the states of a
plurality of resources such as backup servers, library devices, etc., ~~necessary~~ required for
data-backup processing are managed; resources in a usable state are selected from the
managed resources; and switches in a usable state are selected from a plurality of
switches ~~necessary for~~ needed to forming routes among the selected resources.

~~Then it is~~ The system checks whether the resources and routes for
forming a plurality of backup processing subsystems are secured or not. If the resources
and routes for forming a plurality of backup processing subsystems are secured, backup
processing is executed by using the secured resources and routes.

~~The backup processing described above is executed by using a plurality of resources and routes so secured.~~ When the backup processing has been successfully executed by at least one subsystem, the backup ~~processing is regarded~~ considered as successful. Alternatively, data may be backed up by at least one subsystem, and if trouble occurs during the backup processing, ~~it the backup~~ is continued by using other resources and routes.

As described above, in the backup processing system ~~according to~~ of the present invention, resources and routes ~~necessary~~ needed for backing up data to be used by a data-processing computer system are dynamically secured to form a plurality of backup subsystems, and backup processing is executed; therefore, ~~the backup processing~~ is prevented from being prolonged when trouble occurs during backup ~~processing~~.

Other and further objects, features and advantages of the invention will appear more fully from the following description read in conjunction with the drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

A preferred form of the present invention is illustrated in the accompanying drawings in which:

Fig. 1 is a schematic block diagram of an embodiment of the backup processing system of the present invention;

Fig. 2 ~~shows~~ is an example of the backup processing information 410 held by the backup manager 400;

Fig. 3 ~~shows~~ is an example of backup server information 420;

Fig. 4 ~~shows~~ is an example of library device information 430;

Fig. 5 ~~shows~~ is an example of tape information 440 held by the backup manager 400;

Fig. 6 ~~shows~~ is an example of FC switch information 450;

Figs. 7 and 8 show ~~is~~ a flowchart of backup processing steps performed by backup manager 400;

~~Fig. 8 shows the rest of the back up processing by the backup manager 400;~~

Fig. 9 ~~shows~~ is an example of backup data save information 460;
Fig. 10 is a flowchart of restore processing by backup manager 400;
Figs. 11 and 12 ~~show~~ is a flowchart of backup processing steps performed
by backup manager 400; and
Fig. 12 ~~shows the rest of the backup processing by the backup manager~~
400; and
Fig. 13 is an example of the copy device information 470 held by the
backup manager 400.

DETAILED DESCRIPTION OF THE INVENTION

~~Referring to the drawings, a~~ preferred embodiment of the backup
processing system of the present invention ~~will~~ be described below with reference to the
drawings.

~~Referring to Fig. 1~~ As shown in Fig. 1, ~~the~~ backup processing system is
provided with a backup manager 400, which has a resource selection processor 401, a
route selection processor 402, a backup processor 403, and a restore processor 404.

~~The r~~Resource selection processor 401 selects resources in a usable state
from a plurality of resources such as backup servers 300, library devices 500, tapes 510,
etc., ~~necessary~~ required for the backup of data to be used in data processing by a host
computer 100.

~~The r~~Route selection processor 402 selects FC (Fibre Channel) switches
600 in a usable state from a plurality of FC switches 600 ~~for~~ to forming routes among the
selected resources. When the resources and routes necessary for required to backing up
~~data to be used in data processing for use by the host computer 100 are secured to form a~~
plurality of backup subsystems, ~~the backup processor 403 executes backup~~
~~processes~~ performs a backup operation, using the selected resources and routes.

~~The r~~Restore processor 404 finds the storage areas of backup data by using
information relating backup data to their storage areas and restores data into a storage
device 200 of ~~the~~ host computer 100.

A program ~~to have the~~ which controls the backup manager 400 to function as the resource selection processor 401, the route selection processor 402, the backup processor 403, and the restore processor 404 is recorded in a storage medium such as a CD-ROM, stored in a magnetic disk or the like, and then loaded in a memory and executed. The program may be recorded in storage media other than the CD-ROM. The program may be ~~installed~~ loaded from the storage medium of the program into a data processing device ~~to use the program~~, or the storage medium of the program may be accessed through a network to use the program.

The backup processing system comprises ~~the~~ host computer 100, ~~the~~ storage device 200, ~~the~~ backup servers 300, ~~the~~ backup manager 400, ~~the~~ library devices 500, fibre-channel switching devices, or FC switches, 600, and copy devices 610 ~~which take that~~ make copies of data in the host computer 100 in accordance with backup instructions.

~~The h~~Host computer 100, ~~the~~ backup servers 300, ~~the~~ backup manager 400, and ~~the~~ FC switches 600 are connected by a network 800. ~~The h~~Host computer 100, ~~the~~ storage device 200, ~~the~~ backup servers 300, ~~the~~ backup manager 400, ~~the~~ library devices 500, and ~~the~~ copy devices 610 consist of the FC switches 600 and are connected by a SAN (Storage Area Network) 700 for data transfer.

~~The h~~Host computer 100 has a backup agent 110 ~~which that~~ controls the application software and database-management software of ~~the~~ host computer 100 for backup processing. ~~The s~~Storage device 200 ~~has a function to~~ records and reproduces data to be used ~~by the host computer 100~~ in accordance with requirements ~~by the~~ of host computer 100. A single magnetic ~~disc~~ disk drive, a magnetic disk drive ~~unit~~ with a controller of a RAID (Redundant Array of Inexpensive Disks) type, or the like, may be used as ~~the~~ storage device 200.

Each library device 500 has a plurality of tapes 510 and reads data from and writes data into a tape 510, which is selected by external control, in accordance with the read and write ~~demands by~~ commands from a device connected to ~~said the~~ library device 500. In the data-backup processing ~~to be described later~~, ~~the~~ library devices 500

store copies (backup data) of the data ~~which~~that are stored in the storage device 200 and used by ~~the~~ host computer 100.

~~The~~hHost computer 100, the backup servers 300, and the backup manager 400 have components such as memories and CPUs that are necessary for computers, but ~~for them to have~~their having such components is not important for the present embodiment; therefore the details of such components ~~will~~are not be described here.

In the data-backup processing ~~to be~~ described below, the backup manager 400 ~~accomplishes the backup of~~ backs up the data stored in the storage device 200 within a given time, in accordance with a schedule, by securing multiple resources ~~necessary~~ needed for backup processing and then instructing the backup servers 300 so secured to execute the backup.

Referring to Fig. 2, ~~a~~An example of backup processing information 410 held by the backup manager 400 ~~will be~~ is now described with reference to Fig. 2. “Process Number” means numbers allotted to backup processes. “Time” means the time and the date when each backup process is to started. ~~Held in the~~ The “Object” column ~~is~~ lists information ~~to identify~~ that identifies the data in the storage device 200 to be backed up. The information may ~~be information~~ identifying logical or physical volumes, file names (identifiers), database table names (identifiers), or the like.

~~The~~bBackup manager 400 ~~holds~~ contains various ~~pieces~~ items of information shown in Figs. 3 to 6 to manage the various resources mentioned above.

Referring to Fig. 3, ~~a~~An example of backup server information 420 ~~will be~~ is described with reference to Fig. 3. ~~The numbers in~~ The “Server Number” column of “Server Number” contains numbers that identify the backup servers 300. ~~Held in~~ The “Server Name” column of “Server Name” contains ~~are~~ the names (identifiers) of the backup servers 300. ~~Held in~~ The “State” column of “State” shows ~~is~~ the state of each backup server 300; i.e., usable, in use, or ~~unusable~~ not usable. When a backup server 300 is executing a backup process in accordance with a data set in the backup processing information 410, the process number ~~in~~ of the data set is ~~held~~ shown in the “Process Number” column of “Process Number.” ~~The~~ nNumbers in the column of “Stream

Number" column distinguish the multiple copies, to be made as described later, of data in a backup process.

Referring to Fig. 4, ~~a~~An example of library device information 430 ~~will be~~ is now described with reference to Fig. 4. The numbers in the "Library Device Number" column of "Library Device Number" identify the library devices 500. The codes (identifiers) in the ~~column of~~"Library Device Name" column identify the library devices 500. The ~~columns of~~"State," "Process Number," and "Stream Number" ~~hold the relation between columns contain information relating~~ the library devices 500 and backup processes, as in the case of the backup server information 420.

Referring to Fig. 5, ~~an example of tape information 440 held by the backup manager 400 about tapes 510 in each library device 500 will be~~ Backup manager 400 contains tape information 440 about tapes 510 in each library device 500. An example of that information is now described with reference to Fig. 5. The ~~backup manager 400 has the tape information 440 on each library.~~ The numbers in the ~~column of~~"Tape Number" column of the tape information 440 of each library device 500 identify the tapes 510 of ~~said~~a library device 500. The ~~columns of~~"State," "Process Number," and "Stream Number" ~~hold the relation between~~columns contain information relating the tapes 510 and backup processes, as in the case of the backup server information 420.

Referring to Fig. 6, ~~a~~An example of FC switch information 450 ~~will be~~ is described with reference to Fig. 6. The numbers in the ~~column of~~"FC Switch Number" column identify the FC switches 600. The codes (identifiers) in the ~~column of~~"FC Switch Name" column further identify the FC switches 600. The ~~columns of~~"State," "Process Number," and "Stream Number" ~~hold~~columns contain the relation between information relating the FC switches 600 and backup processes as in the case of the backup server information 420.

Referring to Figs. 7 and 8, ~~b~~Backup processing by the backup manager 400 ~~will be~~ is now described with reference to Figs. 1, 7 and 8.

The ~~b~~Backup manager 400 commences a backup ~~process~~ of the data specified by a backup data set in the backup processing information 410 at the time and on the date given ~~by~~in the backup data set (Step 1000). The ~~b~~Backup manager 400

instructs the backup agent 110 of the host computer 100 to prepare the backup (Step 1010).

The ~~r~~Resource selection processor 401 of the backup manager 400 selects a backup server 300 from ~~the~~ usable backup servers 300 by using the backup server information 420. ~~Resource selection processor 401 then,~~ changes the state information of the selected backup server 300 from "usable" to "in use", sets the process number of the selected backup server 300 to the ~~same number as the~~ process number in the backup data set ~~in the~~ of backup processing information 410, and sets the stream number of the selected backup servers 300 to "0" (Step 1020). The ~~s~~Selected backup server 300 ~~will~~is hereinafter ~~be~~ called backup server "0".

In ~~at the~~ similar ~~way~~manner, the resource selection processor 401 of the backup manager 400 ~~uses library device information 430 and tape information 440, to~~ selects a library device 500 usable for the backup process and a tape 510 in the library device. ~~500 usable for the backup process by using the library device information 430 and the tape information 440,~~ ~~Resource selection processor 401 then~~ changes the state information of the selected library device 500 and tape 510 from "usable" to "in use," sets the process numbers of the selected library device 500 and tape 510 to the ~~same number as the~~ process number in the backup data set ~~in the~~of backup processing information 410, and sets the stream numbers of the selected library device 500 and tape 510 to "0" (Step 1030). The selected library device 500 and tape 510 ~~will~~are hereinafter ~~be~~ called library device "0" and tape "0", respectively.

~~The~~ Using FC switch information 450, route selection processor 402 of the backup manager 400 selects an FC switch 600 to form ~~the~~ routes among ~~the~~ storage device 200, the backup server "0", and the library device "0". ~~by using the FC switch information 450,~~ ~~Then,~~ route selection processor 402 changes the state information of the selected FC switch 600 from "usable" to "in use", sets the process number of the selected FC switch 600 to the ~~same number as the~~ process number in the backup data set ~~in the~~of backup processing information 410, and sets the stream number of the selected FC switch 600 to "0" (Step 1040).

Then ~~the~~ resource selection processor 401 and route selection processor 402 of ~~the~~ backup manager 400 selects another backup servers 300, another library device 500, another tape 510, and another FC switch 600 in the same way as described above, but all are given a stream number "1", to secure another backup route, or subsystem (Steps 1050 to 1070). The backup server 300, ~~the~~ library device 500, and ~~the~~ tape 510 so selected will be hereinafter ~~be~~ called backup server "1", library device "1", and tape "1", respectively.

If ~~the~~ resource selection processor 401 and ~~the~~ route selection processor 402 fail to secure two backup routes, or subsystems, as described above, the backup processor 403 of ~~the~~ backup manager 400 regards the backup process as a failure, releases the secured resources, and records the failure in a log (Steps 1180 and 1190). ~~Besides, the~~ In addition, backup processor 403 may notify the user of the failure.

If two backup routes, or subsystems, are secured, ~~the~~ backup processor 403 of ~~the~~ backup manager 400 controls ~~the~~ library device "0" to prepare ~~the~~ for recording of the data ~~into~~ onto the tape "0" (Step 1090).

Then ~~the~~ backup processor 403 of ~~the~~ backup manager 400 notifies the backup server "0" of the data to be backed up, the library device "0", and the tape "0", and instructs ~~the~~ backup server "0" to back up the data ~~into the~~ onto tape "0" (Step 1100).

~~The~~ Backup server "0" reads out the data to be backed up from the storage device 200, transfers the data to ~~the~~ library device "0", and writes the data ~~into~~ onto the tape "0". When the backup process has been ~~finished successfully~~ completed, the backup server "0" notifies ~~the~~ backup processor 403 of the successful completion of the backup process. If the backup process ~~comes to an abnormal end~~ ends in an irregular way, the backup server "0" notifies ~~the~~ backup processor 403 of the ~~abnormal~~ irregular termination (Step 1110).

While the above backup process is being executed, the backup processor 403 of ~~the~~ backup manager 400 prepares the library device "1" and instructs ~~the~~ backup server "1" to back up the data ~~into the~~ onto tape "1". As in the case of the backup process described above, ~~the~~ backup server "1" executes the backup process and notifies the backup processor 403 of the result of the backup process (Steps 1120 to 1140).

The ~~b~~Backup processor 403 of the ~~backup manager 400~~ receives the information on the results of ~~the~~ backup processes from the backup servers "0" and "1" and checks the contents of the information to ~~judged~~determine whether the backup processes ~~to be~~were successes or failures (Step 1150). If both the backup processes are ~~failure~~fail, the backup of the data is regarded as failure (Steps 1180 and 1190). If either of the backup processes is successful, the backup of the data is regarded as a success and the backup processor 403 of the ~~backup manager 400~~ finds ~~the~~a storage area ~~off~~for the ~~backed-up~~ data ~~so backed-up, or backup data,~~ and updates the backup data save information 460 (Step 1160).

~~Referring to Fig. 9, a~~An example of the backup data save information 460 ~~will be~~is described ~~with reference to Fig. 9.~~ A ~~f~~Field in the "Object" column identifies ~~backed-up~~backed-up data and includes information to distinguish logical or physical volumes, file names (identifiers), database table names (identifiers), etc. "Start Time" means the time and the date when a data backup process ~~of data~~ was started. "End Time" means the time and the date when the data backup process ~~of the data~~ was ended. "Data Time" means the time and the date of the data as backup data. "Library Device Number" and "Tape Number" ~~mean~~are the numbers of a library device 500 and a tape 510 in which the backup data were stored, corresponding to "Library Device Number" in the library device information 430 and "Tape Number" in the tape information 440, respectively. ~~Held in t~~The "Data Position" column ~~is~~contains information on the storage area of the backup data on the tape 510; for example, block addresses or the number of bytes of an offset from the head. "Data Size" is the size of the backup data in, for example, the numbers of blocks or bytes.

If one of the ~~above~~ two backup processes described above is successful, the backup processor 403 of the ~~backup manager 400~~ records, into the backup data save information 460, the numbers of the library device 500 and the tape 510 ~~in on~~ which the data have successfully been stored. If two or more backup processes are successful, the numbers of the library device 500 and the tape 510 of ~~either~~any of the backup processes, or the numbers of the library devices 500 and the tapes 510 of two or more backup processes, are recorded in the backup data save information 460. The storage areas of the

backup data on ~~both~~ some or all of the tapes 510 or the storage area of the backup data on either tape 510 is also recorded in the backup data save information 460. ~~Thus, for~~ example, backup results of only the first successful process only can be selected.

~~The b~~BBackup processor 403 of ~~the backup manager 400~~ stores all or part of ~~the backup data save information 460~~ the information ~~onto each tape 510 in the backup data save information 460 into said tape 510 (Step 1170) and thus can collect the information from said each tape 510 as the need arises.~~

~~The b~~BBackup processor 403 of ~~the backup manager 400~~ changes the states of ~~the backup server information 420, the library device information 430, the tape information 440, and the FC switch information 450 all~~ used for the backup processes from "in use" to "usable", namely, releasing releases the resources secured for the backup processes, and completes ~~them those processes~~ (Step 1190).

~~Referring to Fig. 10, the procedure of the~~ The restore process by the performed by backup manager 400 will be is now described with reference to Fig. 10. If data stored in ~~the storage device 200~~ are lost due to operational trouble or trouble with some device ~~trouble with some device or operational trouble~~, the user checks with the restore processor 404 of ~~the backup manager 400~~ about ~~the the save conditionsituation~~ of backup data (Step 2000).

~~The r~~RRestore processor 404 of ~~the backup manager 400~~ ~~presents provides~~ information on the backup data to the user by using the backup data save information 460 (Step 2010).

The user chooses the data to be restored, appoints assigns a storage area ~~for restoring in the storage device 200 for the data restoration~~, and instructs ~~the restore processor 404 of the backup manager 400~~ to restore the data (Step 2020).

Using the backup data save information 460, ~~the restore processor 404 of the backup manager 400~~ specifies the library device 500 and the tape 510 storing data to be restored and ~~it specifies the data~~ storage area on the tape. Restore processor 404 then refers to ~~the library device information 430 and the tape information 440~~, makes sure that the states of the indicated library device 500 and the indicated tape 510 are "usable" and

changes the states of the indicated library device 500 and the indicated tape 510 to "in use" (Step 2030).

~~Also~~In addition, using the backup server information 420, the restore processor 404 of the backup manager 400 selects a backup server 300 to be used for ~~restoring the restoration from the~~ "usable" backup servers 300, and changes the state of the backup server information 420 ~~offor~~ for the selected backup server 300 from "usable" to "in use" (Step 2040). Further, the restore processor 404 selects an FC switch 600 to form the routes among the indicated library device 500, the selected backup server 300 and the storage device 200, and then changes the state information of the selected FC switch 600 to "in use" in the FC switch information 450 (Step 2050).

Then the restore processor 404 of the backup manager 400 controls the prepares library device 500, and prepares so that the data to be restored can be obtained from the tape 510 (Step 2060).

Then the ~~r~~Restore processor 404 of the backup manager 400 then notifies the selected backup server 300 of the indicated library device, 500, ~~the~~ the indicated tape 510, and the data to be restored, ~~it~~ specifies the data storage area on the tape and area and the area to which ~~it~~ the data will be is restored, and instructs the backup server 300 to restore perform the data to be restored restoration (Step 2070).

Upon receiving the instruction, the backup server 300 reads out the data to be restored from the tape 510 of the library device 500, and restores the data ~~on~~ in the appointed assigned storage area of the storage device 200 (Step 2080).

When the instructed requested restore process has been finished successfully completed, the backup server 300 notifies the restore processor 404 of the successful completion of the restore process. If the restore process ~~comes to an abnormal~~ ends in an irregular way, the backup server 300 notifies the restore processor 404 of the ~~abnormal end~~ irregular termination (Step 2090).

The ~~r~~Restore processor 404 of the backup manager 400 records the results in a log and notifies the user of the ~~success when the notice is a successful completion,~~ and the failure when the notice is an abnormal end, respectively or of a failure if the restoration process ends irregularly (Step 2100).

~~The~~ Restore processor 404 of the backup manager 400 changes the state of various information for the restore process from "in use" to "usable", ~~namely,~~ releasing the resources so secured, and completes the restore process (Step 2110).

As described above, in the backup processing system according to the present embodiment, resources and routes ~~necessary~~ needed for backing up data to be used by a host computer 100 are dynamically secured as required to form a plurality of backup subsystems, and backup processing ~~are~~ is executed in parallel by the plurality of backup subsystems. Therefore, even when trouble occurs during any of the ~~plurality of multiple backup processing operations,~~ such the backup processing is prevented from being ~~not~~ prolonged and the backup processing can be completed within a given time.

In the backup processing described above, the backup is executed in parallel by a plurality of backup servers 300. In the backup processing referring ~~described below with reference to Figs. 11 and 12 below,~~ however, ~~the~~ a copy device 610 (see Fig. 1), ~~making which makes~~ copies of data for the backup is switched when trouble occurs.

Fig. 11 is a flowchart of ~~the first 10 steps of back up processing by backup manager 400 of the present embodiment.~~

Figs. 11 and 12 ~~is show~~ a flowchart showing the rest of the backup processing by the backup manager 400 of the present embodiment in accordance with another embodiment of the present invention. As in the processing described above, a resource selection processor 401 of the backup manager 400 ~~starts~~ backup processing, ~~by using~~ Using copy device information 470, the resource selection processor selects a copy device 610 to be used for the backup processing from the usable copy devices 610 and sets copy device information 470 (Step 3000).

The selected copy device 610 ~~will~~ is hereafter be called copy device "0". The selection of the copy device "0" and ~~the setting to the~~ of the copy device information 470 ~~made by the backup manager 400 are the same as what are as was done to with the backup server 300 and the backup server information 420 in the previously described backup processing.~~

Fig. 13 is an example of the copy device information 470 ~~held by the~~ contained in backup manager 400 of the present embodiment. The numbers in the ~~column of~~ "Copy Device Number" column identify the copy devices 610. ~~Held in the~~ column of The "Copy Device Name" are column lists the names (identifiers) of the copy devices 610. The state, process numbers and stream numbers are the same as those of ~~the~~ in backup server information 420.

Further, as in the backup process described above, ~~the~~ backup manager 400 selects ~~the~~ library device "0", ~~the~~ tape "0", ~~the~~ copy device "1", ~~the~~ library device "1", ~~the~~ tape "1" and ~~the~~ an FC switch 600, and secures the selected equipment (resources) by setting the various information (Step 3010). If ~~the~~ backup manager 400 fails to secure two or more backup routes, or subsystems, ~~as then, as in the~~ above previously described backup process, the backup manager 400 regards the backup process as a failure (Step 3160); and releases the resources secured for the backup process (Step 3170).

~~Then the~~ When two or more routes or subsystems are secured, backup processor 403 of ~~the~~ backup manager 400 controls ~~the~~ library device "0" and ~~the~~ library device "1" to prepare for the recording of the data ~~into the~~ onto tape "0" and ~~the~~ tape "1" (Steps 3030, 3040).

Then ~~the~~ backup processor 403 of ~~the~~ backup manager 400 instructs the copy device "0" to backup (copy) the target data (i.e., the data to be backed up) into the onto tape "0" of ~~the~~ library device "0" (Step 3050). As an example of a backup directive commands instructing the copy, ~~there is an~~ EXTENDED COPY command specified in the SCSI (Small Computer System Interface). When ~~using~~ EXTENDED COPY commands are used, copying can be instructed by specifying a device from which data is copied, a device to which data is copied, an address of the area from which data is copied, an address of the area to which data is copied and copy length, etc., as parameters.

~~The~~ Backup processor 403 of ~~the~~ backup manager 400 executes copying of the data to be backed up by dividing ~~it that data~~ into a ~~plurality of~~ multiple processes with a ~~plurality of~~ multiple EXTENDED COPY commands. If the data to be copied, for example, is ~~of the size of~~ 100 Mbytes in size, a copy length is set as 10 Mbytes ~~each~~

by using 10 commands, and the copying process is repeated 10 times. By dividing the copy process, fine-particle size by command during a trouble-shooting procedure can be realized. Further, a prompt trouble-shooting is ~~given~~provided and the backup process can be continued.

The ~~e~~Copy device "0" executes the instructed copy process, and informs the backup processor 403 of successful completion when the copy process is successfully completed and of an abnormal end/irregular termination when the copy process comes to an ~~abnormal~~irregular end (Step 3060).

Upon receiving a report of ~~the successful completion~~, the backup processor 403 ~~of the backup manager 400~~ records in backup data save information 460, with respect to the data whose copy process is ~~succcessfully completed~~, the library device "0", the tape "0", and the storage area of data on the tape, with respect to the data whose copy process has been successfully completed in the backup data save information 460 (Step 3080). When there is data which has not ~~yet~~ been copied ~~yet~~, the backup processor 403 issues the next copy command to the copy device "0" (Step 3090). When the whole copying process of the data to be backed up ~~has been~~is completed, the backup process is regarded as successful (Step 3150) and the resources secured for the backup process ~~is are~~ released (Step 3170).

When ~~receiving~~ backup processor 403 receives a report of ~~abnormal end and irregular termination of the copy process from the copy device "0", or reaching~~reaches a time-out without receiving any reports from the copy device "0", the backup processor 403 ~~of the backup manager 400~~ the backup processor indicates, with respect to that the data whose copy process has not successfully completed, the will now be copied by copy device "1" to copy to the tape "1" of the library device "1" (Step 3100).

The copy device "1" executes the instructed copy process and, as described above, informs ~~copy results to the backup processor 403 of the backup manager 400~~ copy results (Step 3110).

When ~~receiving~~ a report of successful completion is received, the backup processor 403 stores in backup data save information 460, with respect to the data whose ~~copy has been successfully completed~~, the library device "1", the tape "1" and the storage

area of data on the tape, with respect to the data whose copying has been successfully completed into the backup data save information 460 (Step 3130). If there is data which has not yet been copied ~~yet~~, the backup processor issues the next copy instruction command to ~~the~~ copy device "1" (Step 3140).

When the whole copying process of the data to be backed up ~~is~~ has been completed, the backup process is regarded as successful (Step 3150) and the resources secured for the backup process ~~is~~ are released (Step 3170). When ~~receiving~~ backup processor 403 receives a report of abnormal end and irregular termination of the copy process from ~~the~~ copy device "1", or ~~reaching~~ reaches a time-out, the backup process is regarded as failure (Step 3160) and the resources secured for the backup process ~~is~~ are released (Step 3170).

When switching of the backup processes occurs, as described above ~~occurs~~, the backup data is divided into a plurality of multiple sections and stored in ~~the~~ two or more library devices 500 and ~~the~~ tapes 510. Such sections of the backup data and their storage area are respectively recorded in ~~the~~ backup data save information 460 and managed. Namely, the backup data save information 460 may have entries of a plurality of multiple storage areas with respect to backup data of given data to be that was selected for backed-up.

As described ~~in the above~~ in the restore process, when ~~the~~ backup manager 400 restores data, it requests, ~~by using the backup data save information 460, the presentation identification of the restorable data and the storage area of data to be restored.~~ However, wWhen the backup data (data to be restored) is divided and stored, as described immediately above, ~~however, the restore processor 404 of the backup manager 400 obtains, by using the backup data save information 460, the identification of each storage area (the tape 510 of the library device 500). The~~ Restore processor 404 then sequentially secures the library device/tape, gives a restore instruction to the backup server 300 or to the copy device 610 and then releases the library device/tape, eventually restoring ~~so as to restore all the data to be restored.~~

As previously described, in the backup processing system of the present embodiment, resources and routes ~~necessary~~ needed for backing up data to be used by a

host computer 100 are dynamically secured, according to the state of each state resource, to form a plurality of backup subsystems. Therefore, when trouble occurs during any of the plurality of multiple backup processing processes, the backup processing is continued continues in another system and such backup processing, is prevented from being prolonged and being free of delays, can be completed within a given time.

~~In the above backup processing, the backup is process described above~~ started ~~starts~~ by ~~the~~ with an instruction of a user, ~~and according to the a~~ date and time set by the backup process information 410. ~~Also~~ Alternatively, the user may give an instruction to start the backup immediately, in an on-demand manner.

Further, the FC switch 600 may have a zoning function grouping a plurality of multiple ports owned managed by the FC switch 600 and allowing access and transfer within each group. Accordingly, in selecting and securing resources (routes) described above for in the above backup processing and restore processing, the backup manager 400 sets the FC switch 600 via a network 800, executes zoning the selected route as an independent route and carries out the processing so that the data transfer ~~in of~~ the backup processing or the restore processing does not influence a transfer in the processing being executed by another computer and is not influenced by such transfer.

In both of the ~~above above~~ described backup processing processes, two resources (routes) are secured and the processing is ~~made~~ carried out. However, in order to improve the trouble ~~preventive prevention~~ function of the present invention, three or more routes may be used to carry out the processing.

In the ~~above backup processing and restore processing~~ described above, when data to be backed up or restored are files or database tables, the backup manager 400 and the backup server 300 have means to translate file management ~~information of~~ file systems and database management information to other file formats or database formats as needed or otherwise deemed appropriate.

Further, the backup processing method described above can be applied when creating a copy (snapshot) of data ~~by on~~ the storage device 200 and acquiring the backup with respect to the copy.

In the above description, ~~the~~ host computer 100, ~~the~~ backup server 300 and ~~the~~ backup manager 400 are ~~regarded~~ shown as different computers. However, one or more computers may have the means and functions of the above computers, and may ~~carries~~ carry out the backup processing in the same way as described above.

In the ~~former~~ backup processing described above, ~~the~~ backup manager 400 manages various information and carries out the backup processing ~~by using the~~ backup server 300. However, as an example of an alternative configuration, each backup server 300 may manage while synchronizing the information so that the contents will be the same on each backup server 300, and can achieve the backup processing by carrying out the processing previously done by the backup manager 400 in the above description.

~~Also~~ Moreover, in the description of the ~~latter~~ backup process, the routes are switched ~~according to~~ with the occurrence of an abnormal end ~~irregular termination~~ of the EXTENDED COPY command. However, routes may be switched by using other transfer instruction methods. For example, such as according to abnormal ends ~~with the occurrence of an irregular termination~~ of a data transfer based on a block, a track or a cylinder of the ~~from~~ storage device 200, transferred as a unit.

Further, in the backup process described above ~~description~~, the storage area of the backup data is on ~~the~~ tape 510 of ~~the~~ library device 500. However, ~~it may be~~ other storage devices such as a single magnetic disk unit or a magnetic disk unit with a controller having a RAID configuration, etc., may be used.

As described above, according to the backup processing system of the present ~~embodiment~~ invention, resources and routes ~~necessary~~ needed for backing up data to be used by a data-processing computer system are dynamically secured to form a ~~plurality of~~ multiple backup subsystems, and backup processing is executed by the subsystems. Therefore, the backup processing is prevented from being prolonged delayed when trouble occurs during backup processing.

~~According to the present invention, resources and routes necessary for backup processing are dynamically secured to form a plurality of backup subsystems in a backup processing system for backing up the data of a data-processing computer system~~

~~and backup processing are executed by the subsystems. Therefore, the backup processing is prevented from being prolonged when trouble occurs during backup processing.~~

The foregoing invention has been described in terms of the preferred embodiments. However, those skilled, in the art will recognize that many variations of such embodiments exist. Such variations are intended to be within the scope of the present invention and the appended claims.

**APPENDIX C: ABSTRACT AS FILED, WITH MARKINGS TO SHOW
CHANGES MADE**

Please amend the Abstract as follows:

~~The present invention provides a technology capable of preventing backup processing from being prolonged when trouble occurs during the backup processing.~~

A backup processing method for backing up data to be used by a data-processing computer system comprises: ~~a step of selecting resources in a usable state from a plurality of resources necessary for the data to be used by the data-processing computer system, a step of selecting switches in a usable state from a plurality of switches necessary for forming~~ needed to form routes among said selected resources, and ~~a step of executing backup processing by using said~~ the secured resources and routes when the resources and routes necessary for backing up data to be used ~~in~~ by the data processing ~~by the computer system are secured to form a plurality of~~ multiple backup subsystems ~~by said selection.~~

APPENDIX D: PENDING CLAIMS 1 - 15

1 1. A backup processing method for backing up data to be used by a
2 data-processing computer system, the method comprising the steps of:
3 selecting resources in a usable state from a plurality of resources necessary
4 for backing up data, the data to be used by the data-processing computer system;
5 selecting switches in a usable state from a plurality of switches necessary
6 for forming routes among the selected resources;
7 determining which of the selected resources and selected routes are secure;
8 and
9 executing backup processing by using secured resources and routes when
10 the resources and routes necessary for backing up data to be used in data processing by
11 the computer system are secured, to thereby form a plurality of backup subsystems by the
12 selection.

1 2. A backup processing method according to claim 1, wherein backup
2 processing is executed by using the plurality of resources and routes so secured, and
3 when the backup processing has been successfully executed by at least one subsystem,
4 regarding the backup processing as successful.

1 3. A backup processing method according to claim 1, wherein data is
2 attempted to be backed up by at least one subsystem of the secured plurality of resources
3 and routes, and if a problem occurs during the backup processing, continuing the backup
4 processing using other resources and routes.

1 4. A backup processing method according to claim 3, wherein the
2 backup processing includes a step of executing a backup instruction command, and
3 wherein a problem in backup processing is detected by a result of the execution of the
4 backup instruction command.

1 5. A backup processing method according to claim 4, wherein data to
2 be backed up is processed by being copied at least two times in response to the backup
3 instruction command.

1 6. A backup processing method according to claim 2, further
2 including a step of storing information relating to the backup processing of the backed-up
3 data.

1 7. A backup processing method according to claim 2, further
2 including a step of storing information relating to whether the backup processing of the
3 backed-up data was successfully executed.

1 8. A backup processing method according to claim 7, wherein data
2 stored relating to the successful execution of the backup processing is used to determine
3 if the data can be restored.

1 9. A backup processing system for backing up data to be used by a
2 data-processing computer system, the system comprising:
3 a resource selection processor for selecting resources in a usable state
4 from a plurality of resources necessary for the backup of data ;
5 a route selection processor for selecting switches in a usable state from a
6 plurality of switches to form routes among the selected resources; and
7 a backup processor for executing backup processes using the selected
8 resources and the selected routes necessary for backing up data, giving preference to
9 those resources and routes which are secured.

1 10. A program having a computer function as a backup processing
2 system for backing up data to be used by a data-processing computer system, the program
3 comprising:
4 a resource selection processor portion for selecting resources in a usable
5 state from a plurality of resources necessary for the backup of data to be used in data
6 processing by the computer;

7 a route selection processor portion for selecting switches in a usable state
8 from a plurality of switches for forming routes among the selected resources; and
9 a backup processor portion for executing backup processing by using the
10 selected resources and routes when the resources and routes necessary for backing up
11 data to be used in data processing by the computer are secure to thereby form a plurality
12 of backup subsystems.

1 11. (New) A method for performing a data backup operation
2 comprising:
3 identifying a data storage resource from among a plurality of data storage
4 resources;
5 identifying a data communication channel resource from among a plurality
6 of data communication channel resources; and
7 performing a first backup operation of data in a computer system using the
8 data storage resource and data communication channel resource so identified.

1 12. (New) The method of claim 11 further including detecting a failure
2 in the backup operation and in response thereto identifying another data storage resource
3 from the plurality of data storage resources, identifying another data communication
4 channel resource from the plurality of data communication channel resources and
5 performing another backup operation using the other data storage resource and data
6 communication resource so identified.

1 13. (New) The method of claim 11 further comprising:
2 identifying another data storage resource from the plurality of data storage
3 resources;
4 identifying another data communication channel resource from the
5 plurality of data communication channel resources; and

6 performing another backup operation using the other data storage resource
7 and data communication resource so identified concurrently with the first backup
8 operation.

1 14. (New) In a computer system, a method for backing up data
2 comprising:
3 performing a first backup operation using a first set of resources to backup
4 first data contained in the computer system;
5 performing a second backup operation using a second set of resources to
6 backup the first data; and
7 performing the first backup operation concurrently with performing the
8 second backup operation, thus providing redundancy in the backup operation to increase
9 the likelihood of a successful backup operation.

1 15. (New) The method of claim 14 further including detecting an
2 occurrence where the first backup operation and the second backup operation do not
3 perform a successful backup operation, and in response thereto, performing at least a
4 third backup operation using a third set of resources.